

PATENT  
450106-05231**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Takayoshi KAWAMURA et al.  
Serial No.: 10/560,154  
International Application No.: PCT/JP2004/008416  
International Filing Date: June 9, 2004  
For: INFORMATION PROCESS APPARATUS AND  
METHOD, RECORD MEDIUM, AND PROGRAM  
Confirmation No.: 9464

745 Fifth Avenue  
New York, NY 10151

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an envelope  
addressed to: Mail Stop Box PCT, Commissioner for Patents, P.O.  
Box 1450, Alexandria, VA 22313-1450 on January 8, 2007.

Paul A. Levy, Reg. No. 45,748

Name of Applicant, Assignee or Registered Representative

Signature

January 8, 2007

Date of Signature

**COMMUNICATION**

Mail Stop Box PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed herewith is a copy of the "Notification of Missing Requirements" (form  
PCT/DO/EO/905) dated December 8, 2006 on the above application, a Declaration duly signed  
by the inventor and a check in the amount of the required \$130.00 surcharge. By separate cover,  
we are filing the Assignment and the \$40.00 fee for recording same.

PATENT  
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Please charge any additional fees incurred or credit any overpayment to Deposit Account  
No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete.  
Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: \_\_\_\_\_

Paul A. Levy  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
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U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/560,154	Takayoshi Kawamura	450106-05231

INTERNATIONAL APPLICATION NO.

PCT/JP04/08416

I.A. FILING DATE

06/09/2004

PRIORITY DATE

06/11/2003

William S Frommer  
Frommer Lawrence & Haug  
745 Fifth Avenue  
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**DOCKETED**

CONFIRMATION NO. 9464

371 FORMALITIES LETTER



\*OC000000021530044\*

Date Mailed: 12/08/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 12/09/2005
- English Translation of the IA filed on 12/09/2005
- Copy of the International Search Report filed on 12/09/2005
- Copy of IPE Report filed on 12/09/2005
- Copy of Annexes to the IPE filed on 12/09/2005
- Preliminary Amendments filed on 12/09/2005
- Information Disclosure Statements filed on 12/09/2005
- Request for Immediate Examination filed on 12/09/2005
- U.S. Basic National Fees filed on 12/09/2005
- Priority Documents filed on 12/09/2005
- Specification filed on 12/09/2005
- Claims filed on 12/09/2005
- Abstracts filed on 12/09/2005
- Drawings filed on 12/09/2005

2006 DEC 12 A 7:18  
FROMMER, LAWRENCE  
& HAUG, LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130 Surcharge.**

Additionally the following defects have been observed:

- The translations of Annexes are canceled since the translations were not submitted prior to 30 months from the priority date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

KAREN R MCLEAN

Telephone: (703) 308-9140 EXT 214

**PART 1 - ATTORNEY/APPLICANT COPY**

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10/560,154	PCT/JP04/08416	450106-05231